

## MSE/2022-23/111

## To, Head- Listing & Compliance **Metropolitan Stock Exchange of India Ltd. (MSE)** Vibgyor Towers, 4th floor, Plot No C 62, G - Block, Opp. Trident Hotel, BandraKurla Complex, Bandra (E), Mumbai – 400 098, India

Dear Sir/Madam

## Sub: SDD Compliance Certificate for the quarter ended December 31, 2022

Dear Sir,

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), Please find enclosed herewith the SDD compliance certificate for the quarter ended December 31, 2022.

Kindly take the above on record.

Thanking you,

Yours faithfully

For SPML India Limited

(Ravi Garg)

Company Secretary & Compliance Officer

Date: 21.01.2023



## **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 2022**

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Ravi Garg, Compliance Officer of the SPML India Ltd am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

- 1. The Company has a Structured Digital Database in place
- 2. Control exists as to who can access the SDD
- 3. All the UPSI disseminated in the previous quarter have been captured in the Database
- 4. The system has captured nature of UPSI along with date and time
- 5. The database has been maintained internally and an audit trail is maintained
- 6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events. I would like to confirm that there were no noncompliance(s) observed in the previous quarter.

Yours faithfully

For SPML india Limited

(Ravi Garg) Company Secretary & Compliance Officer

Date: 21.01.2023 Place: New Delhi